

# Rotation group SO(3)

In *mechanics* and *geometry*, the **3D rotation group**, often denoted **SO(3)**, is the group of all *rotations* about the *origin* of three-dimensional Euclidean space  $\mathbf{R}^3$  under the operation of *composition*.<sup>[1]</sup> By definition, a rotation about the origin is a transformation that preserves the origin, *Euclidean distance* (so it is an *isometry*), and *orientation* (i.e. *handedness* of space). Every non-trivial rotation is determined by its axis of rotation (a line through the origin) and its angle of rotation. Composing two rotations results in another rotation; every rotation has a unique *inverse* rotation; and the *identity map* satisfies the definition of a rotation. Owing to the above properties (along with the *associative property*, which rotations obey), the set of all rotations is a *group* under composition. It is a noncommutative/nonabelian group. Moreover, the rotation group has a natural structure as a *manifold* for which the group operations are *smooth*; so it is in fact a *Lie group*. It is *compact* and has dimension 3.

Rotations are *linear transformations* of  $\mathbf{R}^3$  and can therefore be represented by *matrices* once a basis of  $\mathbf{R}^3$  has been chosen. Specifically, if we choose an *orthonormal basis* of  $\mathbf{R}^3$ , every rotation is described by an *orthogonal 3x3 matrix* (i.e. a 3x3 matrix with real entries which, when multiplied by its *transpose*, results in the *identity matrix*) with *determinant* 1. The group SO(3) can therefore be identified with the group of these matrices under *matrix multiplication*. These matrices are known as "special orthogonal matrices", explaining the notation SO(3).

The group SO(3) is used to describe the possible rotational symmetries of an object, as well as the possible orientations of an object in space. Its *representations* are important in physics, where they give rise to the *elementary particles* of integer *spin*.

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## Length and angle

Besides just preserving length, rotations also preserve the *angles* between vectors. This follows from the fact that the standard *dot product* between two vectors **u** and **v** can be written purely in terms of length:

$$\mathbf{u} \cdot \mathbf{v} = \frac{1}{2} (\|\mathbf{u} + \mathbf{v}\|^2 - \|\mathbf{u}\|^2 - \|\mathbf{v}\|^2).$$

It follows that any length-preserving transformation in  $\mathbf{R}^3$  preserves the dot product, and thus the angle between vectors. Rotations are often defined as linear transformations that preserve the inner product on  $\mathbf{R}^3$ , which is equivalent to requiring them to preserve length. See *classical group* for a treatment of this more general approach, where *SO*(3) appears as a special case.

## Orthogonal and rotation matrices

Every rotation maps an *orthonormal basis* of  $\mathbf{R}^3$  to another orthonormal basis. Like any linear transformation of *finite-dimensional vector spaces*, a rotation can always be represented by a *matrix*. Let *R* be a given rotation. With respect to the *standard basis* **e**<sub>1</sub>, **e**<sub>2</sub>, **e**<sub>3</sub> of  $\mathbf{R}^3$  the columns of *R* are given by (*Re*<sub>1</sub>, *Re*<sub>2</sub>, *Re*<sub>3</sub>). Since the standard basis is orthonormal, and since *R* preserves angles and length, the columns of *R* form another orthonormal basis. This orthonormality condition can be expressed in the form

$$\mathbf{R}^T \mathbf{R} = \mathbf{R} \mathbf{R}^T = \mathbf{I},$$

where *R*<sup>T</sup> denotes the *transpose* of *R* and *I* is the 3 × 3 *identity matrix*. Matrices for which this property holds are called *orthogonal matrices*. The group of all 3 × 3 orthogonal matrices is denoted *O*(3), and consists of all proper and improper rotations.

In addition to preserving length, proper rotations must also preserve orientation. A matrix will preserve or reverse orientation according to whether the *determinant* of the matrix is positive or negative. For an orthogonal matrix *R*, note that *det R*<sup>T</sup> = *det R* implies (*det R*)<sup>2</sup> = 1, so that *det R* = ±1. The *subgroup* of orthogonal matrices with determinant +1 is called the *special orthogonal group*, denoted SO(3).

Thus every rotation can be represented uniquely by an orthogonal matrix with unit determinant. Moreover, since composition of rotations corresponds to *matrix multiplication*, the rotation group is *isomorphic* to the special orthogonal group SO(3).

Improper rotations correspond to orthogonal matrices with determinant −1, and they do not form a group because the product of two improper rotations is a proper rotation.

## Group structure

The rotation group is a group under function composition (or equivalently the product of linear transformations). It is a subgroup of the general linear group consisting of all invertible linear transformations of the real 3-space  $\mathbf{R}^3$ .<sup>[2]</sup>

Furthermore, the rotation group is nonabelian. That is, the order in which rotations are composed makes a difference. For example, a quarter turn around the positive  $x$ -axis followed by a quarter turn around the positive  $y$ -axis is a different rotation than the one obtained by first rotating around  $y$  and then  $x$ .

The orthogonal group, consisting of all proper and improper rotations, is generated by reflections. Every proper rotation is the composition of two reflections, a special case of the Cartan–Dieudonné theorem.

## Axis of rotation

Every nontrivial proper rotation in 3 dimensions fixes a unique 1-dimensional linear subspace of  $\mathbf{R}^3$  which is called the *axis of rotation* (this is Euler's rotation theorem). Each such rotation acts as an ordinary 2-dimensional rotation in the plane orthogonal to this axis. Since every 2-dimensional rotation can be represented by an angle  $\varphi$ , an arbitrary 3-dimensional rotation can be specified by an axis of rotation together with an angle of rotation about this axis. (Technically, one needs to specify an orientation for the axis and whether the rotation is taken to be clockwise or counterclockwise with respect to this orientation).

For example, counterclockwise rotation about the positive  $z$ -axis by angle  $\varphi$  is given by

$$R_z(\varphi) = \begin{bmatrix} \cos \varphi & -\sin \varphi & 0 \\ \sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

Given a unit vector  $\mathbf{n}$  in  $\mathbf{R}^3$  and an angle  $\varphi$ , let  $R(\varphi, \mathbf{n})$  represent a counterclockwise rotation about the axis through  $\mathbf{n}$  (with orientation determined by  $\mathbf{n}$ ). Then

- $R(0, \mathbf{n})$  is the identity transformation for any  $\mathbf{n}$
- $R(\varphi, \mathbf{n}) = R(-\varphi, -\mathbf{n})$
- $R(\pi + \varphi, \mathbf{n}) = R(\pi - \varphi, -\mathbf{n})$ .

Using these properties one can show that any rotation can be represented by a unique angle  $\varphi$  in the range  $0 \leq \varphi \leq \pi$  and a unit vector  $\mathbf{n}$  such that

- $\mathbf{n}$  is arbitrary if  $\varphi = 0$
- $\mathbf{n}$  is unique if  $0 < \varphi < \pi$
- $\mathbf{n}$  is unique up to a sign if  $\varphi = \pi$  (that is, the rotations  $R(\pi, \pm \mathbf{n})$  are identical).

In the next section, this representation of rotations is used to identify  $SO(3)$  topologically with three-dimensional real projective space.

## Topology

The Lie group  $SO(3)$  is diffeomorphic to the real projective space  $\mathbf{RP}^3$ .<sup>[3]</sup>

Consider the solid ball in  $\mathbf{R}^3$  of radius  $\pi$  (that is, all points of  $\mathbf{R}^3$  of distance  $\pi$  or less from the origin). Given the above, for every point in this ball there is a rotation, with axis through the point and the origin, and rotation angle equal to the distance of the point from the origin. The identity rotation corresponds to the point at the center of the ball. Rotation through angles between  $0$  and  $-\pi$  correspond to the point on the same axis and distance from the origin but on the opposite side of the origin. The one remaining issue is that the two rotations through  $\pi$  and through  $-\pi$  are the same. So we identify (or "glue together") antipodal points on the surface of the ball. After this identification, we arrive at a topological space homeomorphic to the rotation group.

Indeed, the ball with antipodal surface points identified is a smooth manifold and this manifold is diffeomorphic to the rotation group. It is also diffeomorphic to the real 3-dimensional projective space  $\mathbf{RP}^3$ , so the latter can also serve as a topological model for the rotation group.

These identifications illustrate that  $SO(3)$  is connected but not simply connected. As to the latter, in the ball with antipodal surface points identified, consider the path running from the "north pole" straight through the interior down to the south pole. This is a closed loop, since the north pole and the south pole are identified. This loop cannot be shrunk to a point, since no matter how you deform the loop, the start and end point have to remain antipodal, or else the loop will "break open". In terms of rotations, this loop represents a continuous sequence of rotations about the  $z$ -axis starting and ending at the identity rotation (i.e. a series of rotation through an angle  $\varphi$  where  $\varphi$  runs from  $0$  to  $2\pi$ ).

Surprisingly, if you run through the path twice, i.e., run from north pole down to south pole, jump back to the north pole (using the fact that north and south poles are identified), and then again run from north pole down to south pole, so that  $\varphi$  runs from  $0$  to  $4\pi$ , you get a closed loop which *can* be shrunk to a single point: first move the paths continuously to the ball's surface, still connecting north pole to south pole twice. The second half of the path can then be mirrored over to the antipodal side without changing the path at all. Now we have an ordinary closed loop on the surface of the ball, connecting the north pole to itself along a great circle. This circle can be shrunk to the north pole without problems. Chinese plate trick and similar tricks demonstrate this practically.

The same argument can be performed in general, and it shows that the fundamental group of  $SO(3)$  is cyclic group of order 2. In physics applications, the non-triviality of the fundamental group allows for the existence of objects known as spinors, and is an important tool in the development of the spin-statistics theorem.

The universal cover of  $SO(3)$  is a Lie group called Spin(3). The group  $Spin(3)$  is isomorphic to the special unitary group  $SU(2)$ ; it is also diffeomorphic to the unit 3-sphere  $S^3$  and can be understood as the group of versors (quaternions with absolute value 1). The connection between quaternions and rotations, commonly exploited in computer graphics, is explained in quaternions and spatial rotations. The map from  $S^3$  onto  $SO(3)$  that identifies antipodal points of  $S^3$  is a surjective homomorphism of Lie groups, with kernel  $\{\pm 1\}$ . Topologically, this map is a two-to-one covering map. (See the plate trick.)

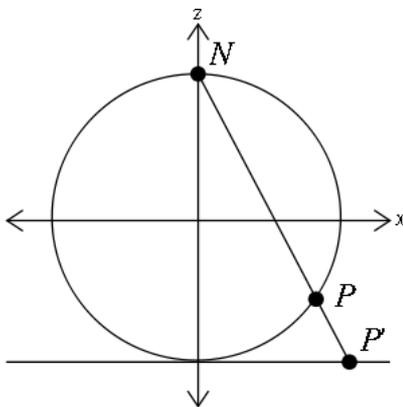
## Connection between SO(3) and SU(2)

The general reference for this section is Gelfand, Minlos & Shapiro (1963). (For an alternative construction, see Section 1.4 of Hall (2015).) The points  $P$  on the sphere  $S = \{(x, y, z) \in \mathbf{R}^3 : x^2 + y^2 + z^2 = \frac{1}{4}\}$  can, barring the north pole  $N$ , be put into one-to-one bijection with points  $S(P) = P'$  on the plane  $M$  defined by  $z = -\frac{1}{2}$ , see figure. The map  $S$  is called stereographic projection.

Let the coordinates on  $M$  be  $(\xi, \eta)$ . The line  $L$  passing through  $N$  and  $P$  can be parametrized as

$$L(t) = N + t(N - P) = (0, 0, 1/2) + t((0, 0, 1/2) - (x, y, z)), \quad t \in \mathbb{R}.$$

Demanding that the  $z$ -coordinate of  $L(t_0)$  equals  $-\frac{1}{2}$ , one finds  $t_0 = \frac{1}{z - \frac{1}{2}}$ . We have  $L(t_0) = (\xi, \eta, -1/2)$ . Hence the map



Stereographic projection from the sphere of radius  $\frac{1}{2}$  from the north pole  $(x, y, z) = (0, 0, \frac{1}{2})$  onto the plane  $M$  given by  $z = -\frac{1}{2}$  coordinatized by  $(\xi, \eta)$ , here shown in cross section.

$$S : \mathbf{S} \rightarrow M; \quad P \mapsto P'$$

is given by

$$(x, y, z) \mapsto (\xi, \eta) = \left( \frac{x}{\frac{1}{2} - z}, \frac{y}{\frac{1}{2} - z} \right) \equiv \zeta = \xi + i\eta,$$

where, for later convenience, the plane  $M$  is identified with the complex plane  $\mathbb{C}$ .

For the inverse, write  $L$  as

$$L = N + s(P' - N) = \left( 0, 0, \frac{1}{2} \right) + s \left( \left( \xi, \eta, -\frac{1}{2} \right) - \left( 0, 0, \frac{1}{2} \right) \right),$$

and demand  $x^2 + y^2 + z^2 = \frac{1}{4}$  to find  $s = \frac{1}{1 + \xi^2 + \eta^2}$  and thus

$$S^{-1} : M \rightarrow \mathbf{S}; \quad P' \mapsto P; \quad (\xi, \eta) \mapsto (x, y, z) = \left( \frac{\xi}{1 + \xi^2 + \eta^2}, \frac{\eta}{1 + \xi^2 + \eta^2}, \frac{-1 + \xi^2 + \eta^2}{2 + 2\xi^2 + 2\eta^2} \right).$$

If  $g \in \text{SO}(3)$  is a rotation, then it will take points on  $\mathbf{S}$  to points on  $\mathbf{S}$  by its standard action  $\Pi_S(g)$  on the embedding space  $\mathbb{R}^3$ . By composing this action with  $S$  one obtains a transformation  $S \circ \Pi_S(g) \circ S^{-1}$  of  $M$ ,

$$\zeta = P' \mapsto P \mapsto \Pi_S(g)P = gP \mapsto S(gP) \equiv \Pi_u(g)\zeta = \zeta'.$$

Thus  $\Pi_u(g)$  is a transformation of  $\mathbb{C}$  associated to the transformation  $\Pi_S(g)$  of  $\mathbb{R}^3$ .

It turns out that  $g \in \text{SO}(3)$  represented in this way by  $\Pi_u(g)$  can be expressed as a matrix  $\Pi_u(g) \in \text{SU}(2)$  (where the notation is recycled to use the same name for the matrix as for the transformation of  $\mathbb{C}$  it represents). To identify this matrix, consider first a rotation  $g_\varphi$  about the  $z$ -axis through an angle  $\varphi$ ,

$$\begin{aligned} x' &= x \cos \varphi - y \sin \varphi, \\ y' &= x \sin \varphi + y \cos \varphi, \\ z' &= z. \end{aligned}$$

Hence

$$\zeta' = \frac{x' + iy'}{\frac{1}{2} - z'} = \frac{e^{i\varphi}(x + iy)}{\frac{1}{2} - z} = e^{i\varphi} \zeta = \frac{e^{\frac{i\varphi}{2}} \zeta + 0}{0\zeta + e^{-\frac{i\varphi}{2}}},$$

which, unsurprisingly is a rotation in the complex plane. In an analogous way if  $g_\theta$  is a rotation about the  $x$ -axis through an angle  $\theta$ , then

$$w' = e^{i\theta} w, \quad w = \frac{y + iz}{\frac{1}{2} - x},$$

which, after a little algebra, becomes

$$\zeta' = \frac{\cos \frac{\theta}{2} \zeta + i \sin \frac{\theta}{2}}{i \sin \frac{\theta}{2} \zeta + \cos \frac{\theta}{2}}.$$

These two rotations,  $g_\varphi, g_\theta$ , thus correspond to bilinear transformations of  $\mathbb{R}^2 \simeq \mathbb{C} \simeq M$ , namely, they are examples of Möbius transformations

A general Möbius transformation is given by

$$\zeta' = \frac{\alpha\zeta + \beta}{\gamma\zeta + \delta}, \quad \alpha\delta - \beta\gamma \neq 0.$$

The rotations,  $g_\varphi, g_\theta$  generate all of  $\text{SO}(3)$  and the composition rules of the Möbius transformations show that any composition of  $g_\varphi, g_\theta$  translates to the corresponding composition of Möbius transformations. The Möbius transformations can be represented by matrices

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}, \quad \alpha\delta - \beta\gamma = 1,$$

since a common factor of  $\alpha, \beta, \gamma, \delta$  cancels.

For the same reason, the matrix is not uniquely defined since multiplication by  $-I$  has no effect on either the determinant or the Möbius transformation. The composition law of Möbius transformations follow that of the corresponding matrices. The conclusion is that each Möbius transformation corresponds to two matrices  $g, -g \in \text{SL}(2, \mathbb{C})$ .

Using this correspondence one may write

$$\begin{aligned}\Pi_u(g_\varphi) &= \Pi_u \left[ \begin{pmatrix} \cos \varphi & -\sin \varphi & 0 \\ \sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{pmatrix} \right] = \pm \begin{pmatrix} e^{i\frac{\varphi}{2}} & 0 \\ 0 & e^{-i\frac{\varphi}{2}} \end{pmatrix}, \\ \Pi_u(g_\theta) &= \Pi_u \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix} \right] = \pm \begin{pmatrix} \cos \frac{\theta}{2} & i \sin \frac{\theta}{2} \\ i \sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix}.\end{aligned}$$

These matrices are unitary and thus  $\Pi_u(\text{SO}(3)) \subset \text{SU}(2) \subset \text{SL}(2, \mathbb{C})$ . In terms of Euler angles<sup>[nb 1]</sup> one finds for a general rotation

$$\begin{aligned}g(\varphi, \theta, \psi) &= g_\varphi g_\theta g_\psi = \begin{pmatrix} \cos \varphi & -\sin \varphi & 0 \\ \sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \cos \psi & -\sin \psi & 0 \\ \sin \psi & \cos \psi & 0 \\ 0 & 0 & 1 \end{pmatrix} \\ &= \begin{pmatrix} \cos \varphi \cos \psi - \cos \theta \sin \varphi \sin \psi & -\cos \varphi \sin \psi - \cos \theta \sin \varphi \cos \psi & \sin \varphi \sin \theta \\ \sin \varphi \cos \psi + \cos \theta \cos \varphi \sin \psi & -\sin \varphi \sin \psi + \cos \theta \cos \varphi \cos \psi & -\cos \varphi \sin \theta \\ \sin \psi \sin \theta & \cos \psi \sin \theta & \cos \theta \end{pmatrix},\end{aligned}$$

one has<sup>[4]</sup>

$$\begin{aligned}\Pi_u(g(\varphi, \theta, \psi)) &= \pm \begin{pmatrix} e^{i\frac{\varphi}{2}} & 0 \\ 0 & e^{-i\frac{\varphi}{2}} \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} & i \sin \frac{\theta}{2} \\ i \sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix} \begin{pmatrix} e^{i\frac{\psi}{2}} & 0 \\ 0 & e^{-i\frac{\psi}{2}} \end{pmatrix} \\ &= \pm \begin{pmatrix} \cos \frac{\theta}{2} e^{i\frac{\varphi+\psi}{2}} & i \sin \frac{\theta}{2} e^{i\frac{\varphi-\psi}{2}} \\ i \sin \frac{\theta}{2} e^{-i\frac{\varphi-\psi}{2}} & \cos \frac{\theta}{2} e^{-i\frac{\varphi+\psi}{2}} \end{pmatrix}.\end{aligned}\tag{2}$$

For the converse, consider a general matrix

$$\pm \Pi_u(g_{\alpha, \beta}) = \pm \begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix} \in \text{SU}(2).$$

Make the substitutions

$$\begin{aligned}\cos \frac{\theta}{2} &= |\alpha|, \quad \sin \frac{\theta}{2} = |\beta|, \quad (0 \leq \theta \leq \pi), \\ \frac{\varphi + \psi}{2} &= \arg \alpha, \quad \frac{\psi - \varphi}{2} = \arg \beta.\end{aligned}$$

With the substitutions,  $\Pi(g_{\alpha, \beta})$  assumes the form of the right hand side (RHS) of (2), which corresponds under  $\Pi_u$  to a matrix on the form of the RHS of (1) with the same  $\varphi, \theta, \psi$ . In terms of the complex parameters  $\alpha, \beta$ ,

$$g_{\alpha, \beta} = \begin{pmatrix} \frac{1}{2}(\alpha^2 - \beta^2 + \bar{\alpha}^2 - \bar{\beta}^2) & \frac{i}{2}(-\alpha^2 - \beta^2 + \bar{\alpha}^2 + \bar{\beta}^2) & -\alpha\beta - \bar{\alpha}\bar{\beta} \\ \frac{i}{2}(\alpha^2 - \beta^2 - \bar{\alpha}^2 + \bar{\beta}^2) & \frac{1}{2}(\alpha^2 + \beta^2 + \bar{\alpha}^2 + \bar{\beta}^2) & -i(\alpha\beta - \bar{\alpha}\bar{\beta}) \\ \alpha\bar{\beta} + \bar{\alpha}\beta & i(-\alpha\bar{\beta} + \bar{\alpha}\beta) & \alpha\bar{\alpha} - \beta\bar{\beta} \end{pmatrix}.$$

To verify this, substitute for  $\alpha, \beta$  the elements of the matrix on the RHS of (2). After some manipulation, the matrix assumes the form of the RHS of (1).

It is clear from the explicit form in terms of Euler angles that the map  $p: \text{SU}(2) \rightarrow \text{SO}(3); \Pi_u(\pm g_{\alpha\beta}) \mapsto g_{\alpha\beta}$  just described is a smooth, 2:1 and onto group homomorphism. It is hence an explicit description of the universal covering map of  $\text{SO}(3)$  from the universal covering group  $\text{SU}(2)$ .

## Quaternions of unit norm

$\text{SU}(2)$  is isomorphic to the quaternions of unit norm via a map given by

$$q = a\mathbf{1} + b\mathbf{i} + c\mathbf{j} + d\mathbf{k} = \alpha + j\beta \leftrightarrow \begin{bmatrix} \alpha & -\bar{\beta} \\ \beta & \bar{\alpha} \end{bmatrix} = U, \quad q \in \mathbb{H}, \quad a, b, c, d \in \mathbb{R}, \quad \alpha, \beta \in \mathbb{C}, \quad U \in \text{SU}(2).\tag{5}$$

This means that there is a 2:1 homomorphism from quaternions of unit norm to  $\text{SO}(3)$ . Concretely, a unit quaternion,  $q$ , with

$$\begin{aligned}q &= w + \mathbf{i}x + \mathbf{j}y + \mathbf{k}z, \\ 1 &= w^2 + x^2 + y^2 + z^2,\end{aligned}$$

is mapped to the rotation matrix

$$Q = \begin{bmatrix} 1 - 2y^2 - 2z^2 & 2xy - 2zw & 2xz + 2yw \\ 2xy + 2zw & 1 - 2x^2 - 2z^2 & 2yz - 2xw \\ 2xz - 2yw & 2yz + 2xw & 1 - 2x^2 - 2y^2 \end{bmatrix}.$$

This is a rotation around the vector  $(x, y, z)$  by an angle  $2\theta$ , where  $\cos \theta = w$  and  $|\sin \theta| = \|(x, y, z)\|$ . The proper sign for  $\sin \theta$  is implied, once the signs of the axis components are fixed. The 2:1-nature is apparent since both  $q$  and  $-q$  map to the same  $Q$ .

# Lie algebra

Associated with every Lie group is its Lie algebra, a linear space of the same dimension as the Lie group, closed under a bilinear alternating product called the Lie bracket. The Lie algebra of  $SO(3)$  is denoted by  $\mathfrak{so}(3)$  and consists of all skew-symmetric  $3 \times 3$  matrices.<sup>[6]</sup> This may be seen by differentiating the orthogonality condition  $A^T A = I$ ,  $A \in SO(3)$ .<sup>[nb 2]</sup> The Lie bracket of two elements of  $\mathfrak{so}(3)$  is, as for the Lie algebra of every matrix group, given by the matrix commutator,  $[A_1, A_2] = A_1 A_2 - A_2 A_1$ , which is again a skew-symmetric matrix. The Lie algebra bracket captures the essence of the Lie group product in a sense made precise by the Baker–Campbell–Hausdorff formula.

The elements of  $\mathfrak{so}(3)$  are the "infinitesimal generators" of rotations, i.e. they are the elements of the tangent space of the manifold  $SO(3)$  at the identity element. If  $R(\phi, \mathbf{n})$  denotes a counterclockwise rotation with angle  $\phi$  about the axis specified by the unit vector, then

$$\left. \frac{d}{d\phi} \right|_{\phi=0} R(\phi, \mathbf{n}) \mathbf{x} = \mathbf{n} \times \mathbf{x}$$

for every vector  $\mathbf{x}$  in  $\mathbb{R}^3$ .

This can be used to show that the Lie algebra  $\mathfrak{so}(3)$  (with commutator) is isomorphic to the Lie algebra  $\mathbb{R}^3$  (with cross product). Under this isomorphism, an Euler vector  $\boldsymbol{\omega} \in \mathbb{R}^3$  corresponds to the linear map  $\tilde{\boldsymbol{\omega}}$  defined by  $\tilde{\boldsymbol{\omega}}(\mathbf{x}) = \boldsymbol{\omega} \times \mathbf{x}$ .

In more detail, a most often suitable basis for  $\mathfrak{so}(3)$  as a 3-dimensional vector space is

$$L_x = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}, \quad L_y = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}, \quad L_z = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

The commutation relations of these basis elements are,

$$[L_x, L_y] = L_z, \quad [L_z, L_x] = L_y, \quad [L_y, L_z] = L_x$$

which agree with the relations of the three standard unit vectors of  $\mathbb{R}^3$  under the cross product.

As announced above, one can identify any matrix in this Lie algebra with an Euler vector  $\mathbb{R}^3$ .<sup>[7]</sup>

$$\boldsymbol{\omega} = (x, y, z) \in \mathbb{R}^3, \\ \tilde{\boldsymbol{\omega}} = \boldsymbol{\omega} \cdot \mathbf{L} = xL_x + yL_y + zL_z = \begin{bmatrix} 0 & -z & y \\ z & 0 & -x \\ -y & x & 0 \end{bmatrix} \in \mathfrak{so}(3).$$

This identification is sometimes called the hat-map.<sup>[8]</sup> Under this identification, the  $\mathfrak{so}(3)$  bracket corresponds in  $\mathbb{R}^3$  to the cross product,

$$[\tilde{\mathbf{u}}, \tilde{\mathbf{v}}] = \widetilde{\mathbf{u} \times \mathbf{v}}.$$

The matrix identified with a vector  $\mathbf{u}$  has the property that

$$\tilde{\mathbf{u}} \mathbf{v} = \mathbf{u} \times \mathbf{v},$$

where ordinary matrix multiplication is implied on the left hand side. This implies that  $\mathbf{u}$  is in the null space of the skew-symmetric matrix with which it is identified, because  $\mathbf{u} \times \mathbf{u} = \mathbf{0}$ .

## A note on Lie algebra

In Lie algebra representation the group  $SO(3)$  is compact and simple of rank 1, and so it has a single independent Casimir element, a quadratic invariant function of the three generators which commutes with all of them. The Killing form for the rotation group is just the Kronecker delta and so this Casimir invariant is simply the sum of the squares of the generators  $J_x, J_y, J_z$ , of the algebra

$$[J_x, J_y] = J_z, \quad [J_z, J_x] = J_y, \quad [J_y, J_z] = J_x.$$

That is, the Casimir invariant is given by

$$J^2 \equiv \mathbf{J} \cdot \mathbf{J} = J_x^2 + J_y^2 + J_z^2 \propto I.$$

For unitary irreducible representations  $D^j$ , the eigenvalues of this invariant are real and discrete, and characterize each representation, which is finite dimensional, of dimensionality  $2j+1$ . That is, the eigenvalues of this Casimir operator are

$$J^2 = -j(j+1) I_{2j+1},$$

where  $j$  is integer or half-integer and referred to as the spin or angular momentum.

So, above, the  $3 \times 3$  generators  $L$  displayed act on the triplet (spin 1) representation, while the  $2 \times 2$  ones ( $t$ ) act on the doublet (spin-1/2) representation. By taking Kronecker products of  $D^{1/2}$  with itself repeatedly, one may construct all higher irreducible representations  $D^j$ . That is, the resulting generators for higher spin systems in three spatial dimensions, for arbitrarily large  $j$ , can be calculated using these spin operators and ladder operators.

For every unitary irreducible representations  $D^j$  there is an equivalent one,  $D^{-j-1}$ . All infinite-dimensional irreducible representations must be non-unitary since the group is compact.

In quantum mechanics, the Casimir invariant is the "angular-momentum-squared" operator; integer values of spin  $j$  characterize bosonic representations, while half-integer values fermionic representations, respectively. The antihermitian matrices used above are utilized as spin operators, after they are multiplied by  $i$ , so they are now hermitian (like the Pauli matrices). Thus, in this language,

$$[J_x, J_y] = iJ_z, \quad [J_z, J_x] = iJ_y, \quad [J_y, J_z] = iJ_x.$$

and hence

$$\mathbf{J}^2 = j(j+1) I_{2j+1} .$$

Explicit expressions for these  $D^j$  are,

$$\begin{aligned} \left( J_z^{(j)} \right)_{ba} &= (j+1-a) \delta_{b,a} \\ \left( J_x^{(j)} \right)_{ba} &= \frac{1}{2} (\delta_{b,a+1} + \delta_{b+1,a}) \sqrt{(j+1)(a+b-1) - ab} \\ \left( J_y^{(j)} \right)_{ba} &= \frac{1}{2i} (\delta_{b,a+1} - \delta_{b+1,a}) \sqrt{(j+1)(a+b-1) - ab} \\ &1 \leq a, b \leq 2j+1 , \end{aligned}$$

for arbitrary  $j$ .

For example, the resulting spin matrices for spin 1,  $\text{spin} \frac{3}{2}$ , and  $\frac{5}{2}$  are:

For  $j = 1$

$$\begin{aligned} J_x &= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ J_y &= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix} \\ J_z &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \end{aligned}$$

(Note, however, how these are in an equivalent, but different basis than the above  $L$ s.)

For  $j = \frac{3}{2}$ :

$$\begin{aligned} J_x &= \frac{1}{2} \begin{pmatrix} 0 & \sqrt{3} & 0 & 0 \\ \sqrt{3} & 0 & 2 & 0 \\ 0 & 2 & 0 & \sqrt{3} \\ 0 & 0 & \sqrt{3} & 0 \end{pmatrix} \\ J_y &= \frac{1}{2} \begin{pmatrix} 0 & -i\sqrt{3} & 0 & 0 \\ i\sqrt{3} & 0 & -2i & 0 \\ 0 & 2i & 0 & -i\sqrt{3} \\ 0 & 0 & i\sqrt{3} & 0 \end{pmatrix} \\ J_z &= \frac{1}{2} \begin{pmatrix} 3 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -3 \end{pmatrix} . \end{aligned}$$

For  $j = \frac{5}{2}$ :

$$J_x = \frac{1}{2} \begin{pmatrix} 0 & \sqrt{5} & 0 & 0 & 0 & 0 \\ \sqrt{5} & 0 & 2\sqrt{2} & 0 & 0 & 0 \\ 0 & 2\sqrt{2} & 0 & 3 & 0 & 0 \\ 0 & 0 & 3 & 0 & 2\sqrt{2} & 0 \\ 0 & 0 & 0 & 2\sqrt{2} & 0 & \sqrt{5} \\ 0 & 0 & 0 & 0 & \sqrt{5} & 0 \end{pmatrix}$$

$$J_y = \frac{1}{2} \begin{pmatrix} 0 & -i\sqrt{5} & 0 & 0 & 0 & 0 \\ i\sqrt{5} & 0 & -2i\sqrt{2} & 0 & 0 & 0 \\ 0 & 2i\sqrt{2} & 0 & -3i & 0 & 0 \\ 0 & 0 & 3i & 0 & -2i\sqrt{2} & 0 \\ 0 & 0 & 0 & 2i\sqrt{2} & 0 & -i\sqrt{5} \\ 0 & 0 & 0 & 0 & i\sqrt{5} & 0 \end{pmatrix}$$

$$J_z = \frac{1}{2} \begin{pmatrix} 5 & 0 & 0 & 0 & 0 & 0 \\ 0 & 3 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -3 & 0 \\ 0 & 0 & 0 & 0 & 0 & -5 \end{pmatrix}.$$

and so on.

### Isomorphism with $\mathfrak{su}(2)$

The Lie algebras  $\mathfrak{so}(3)$  and  $\mathfrak{su}(2)$  are isomorphic. One basis for  $\mathfrak{su}(2)$  is given by<sup>[9]</sup>

$$t_1 = \frac{1}{2} \begin{bmatrix} 0 & -i \\ -i & 0 \end{bmatrix}, \quad t_2 = \frac{1}{2} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, \quad t_3 = \frac{1}{2} \begin{bmatrix} -i & 0 \\ 0 & i \end{bmatrix}.$$

These are related to the Pauli matrices by  $t_i \leftrightarrow \frac{1}{2i}\sigma_i$ . The Pauli matrices abide the physicist convention for Lie algebras. In that convention, Lie algebra elements are multiplied by  $i$ , the exponential map (below) is defined with an extra factor of  $i$  in the exponent and the structure constants remain the same, but the definition of them acquires a factor of  $i$ . Likewise, commutation relations acquire a factor of  $i$ . The commutation relations for the  $t_i$  are

$$[t_i, t_j] = \epsilon_{ijk} t_k,$$

where  $\epsilon_{ijk}$  is the totally anti-symmetric symbol with  $\epsilon_{123} = 1$ . The isomorphism between  $\mathfrak{so}(3)$  and  $\mathfrak{su}(2)$  can be set up in several ways. For later convenience,  $\mathfrak{so}(3)$  and  $\mathfrak{su}(2)$  are identified by mapping

$$L_x \leftrightarrow t_1, \quad L_y \leftrightarrow t_2, \quad L_z \leftrightarrow t_3,$$

and extending by linearity

### Exponential map

The exponential map for  $\mathfrak{SO}(3)$ , is, since  $\mathfrak{SO}(3)$  is a matrix Lie group, defined using the standard matrix exponential series,

$$\exp: \mathfrak{so}(3) \rightarrow \mathfrak{SO}(3); A \mapsto e^A = \sum_{k=0}^{\infty} \frac{1}{k!} A^k = I + A + \frac{1}{2} A^2 + \dots$$

For any skew-symmetric matrix  $A \in \mathfrak{so}(3)$ ,  $e^A$  is always in  $\mathfrak{SO}(3)$ . The level of difficulty of proof depends on how a matrix group Lie algebra is defined. Hall (2003) defines the Lie algebra as the set of matrices  $A \in M_n(\mathbb{R})$   $e^{tA} \in \mathfrak{SO}(3) \forall t$ , in which case it is trivial. Rossmann (2002) uses for a definition derivatives of smooth curve segments in  $\mathfrak{SO}(3)$  through the identity taken at the identity, in which case it is harder<sup>[10]</sup>

For a fixed  $A \neq 0$ ,  $e^{tA}$ ,  $-\infty < t < \infty$  is a one-parameter subgroup along a geodesic in  $\mathfrak{SO}(3)$ . That this gives a one-parameter subgroup follows directly from properties of the exponential map<sup>[11]</sup>

The exponential map provides a diffeomorphism between a neighborhood of the origin in the  $\mathfrak{so}(3)$  and a neighborhood of the identity in the  $\mathfrak{SO}(3)$ .<sup>[12]</sup> For a proof, see Closed subgroup theorem

The exponential map is surjective. This follows from the fact that every  $R \in \mathfrak{SO}(3)$ , since every rotation leaves an axis fixed (Euler's rotation theorem), and is conjugate to a block diagonal matrix of the form

$$D = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} = e^{\theta L_z},$$

such that  $A = BDB^{-1}$ , and that

$$Be^{\theta L_z} B^{-1} = e^{B\theta L_z B^{-1}},$$

together with the fact that  $\mathfrak{so}(3)$  is closed under the adjoint action of  $\mathfrak{SO}(3)$ , meaning that  $B\theta L_z B^{-1} \in \mathfrak{so}(3)$ .

Thus, e.g., it is easy to check the popular identity

$$e^{-\pi L_x/2} e^{\theta L_x} e^{\pi L_x/2} = e^{\theta L_x}.$$

As shown above, every element  $A \in \mathfrak{so}(3)$  is associated with a vector  $\omega = \theta \mathbf{u}$ , where  $\mathbf{u} = (x, y, z)$  is a unit magnitude vector. Since  $\mathbf{u}$  is in the null space of  $A$ , if one now rotates to a new basis, through some other orthogonal matrix  $O$ , with  $\mathbf{u}$  as the  $z$  axis, the final column and row of the rotation matrix in the new basis will be zero.

Thus, we know in advance from the formula for the exponential that  $\exp(OAO^T)$  must leave  $\mathbf{u}$  fixed. It is mathematically impossible to supply a straightforward formula for such a basis as a function of  $\mathbf{u}$ , because its existence would violate the hairy ball theorem, but direct exponentiation is possible, and yields

$$\begin{aligned} \exp(\tilde{\omega}) &= \exp(\theta (\mathbf{u} \cdot \mathbf{L})) = \exp\left(\theta \begin{bmatrix} 0 & -z & y \\ z & 0 & -x \\ -y & x & 0 \end{bmatrix}\right) \\ &= \mathbf{I} + 2cs (\mathbf{u} \cdot \mathbf{L}) + 2s^2 (\mathbf{u} \cdot \mathbf{L})^2 \\ &= \begin{bmatrix} 2(x^2 - 1)s^2 + 1 & 2xys^2 - 2zcs & 2xzs^2 + 2ycs \\ 2xys^2 + 2zcs & 2(y^2 - 1)s^2 + 1 & 2yzs^2 - 2xcs \\ 2xzs^2 - 2ycs & 2yzs^2 + 2xcs & 2(z^2 - 1)s^2 + 1 \end{bmatrix}, \end{aligned}$$

where  $c = \cos \theta/2$ ,  $s = \sin \theta/2$ . This is recognized as a matrix for a rotation around axis  $\mathbf{u}$  by the angle  $\theta$ : cf. Rodrigues' rotation formula

## Logarithm map

Given  $R \in \text{SO}(3)$ , let

$$A = \frac{R - R^T}{2}$$

denote the antisymmetric part.

Then, the logarithm of  $A$  is given by<sup>[8]</sup>

$$\log R = \frac{\sin^{-1} \|A\|}{\|A\|} A.$$

This is manifest by inspection of the mixed symmetry form of Rodrigues' formula,

$$e^X = \mathbf{I} + \frac{\sin \theta}{\theta} X + 2 \frac{\sin^2 \frac{\theta}{2}}{\theta^2} X^2, \quad \theta = \|X\|,$$

where the first and last term on the right-hand side are symmetric.

## Baker–Campbell–Hausdorff formula

Suppose  $X$  and  $Y$  in the Lie algebra are given. Their exponentials,  $\exp(X)$  and  $\exp(Y)$ , are rotation matrices, which can be multiplied. Since the exponential map is a surjection, for some  $Z$  in the Lie algebra,  $\exp(Z) = \exp(X) \exp(Y)$ , and one may tentatively write

$$Z = C(X, Y),$$

for  $C$  some expression in  $X$  and  $Y$ . When  $\exp(X)$  and  $\exp(Y)$  commute, then  $Z = X + Y$ , mimicking the behavior of complex exponentiation.

The general case is given by the more elaborate BCH formula, a series expansion of nested Lie brackets.<sup>[13]</sup> For matrices, the Lie bracket is the same operation as the commutator, which monitors lack of commutativity in multiplication. This general expansion unfolds as follows,<sup>[nb 3]</sup>

$$Z = C(X, Y) = X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}[X, [X, Y]] - \frac{1}{12}[Y, [X, Y]] + \dots.$$

The infinite expansion in the BCH formula for  $\mathfrak{SO}(3)$  reduces to a compact form,

$$Z = \alpha X + \beta Y + \gamma[X, Y],$$

for suitable trigonometric function coefficients  $(\alpha, \beta, \gamma)$ .

### The trigonometric coefficients

The  $(\alpha, \beta, \gamma)$  are given by

$$\alpha = \phi \cot(\phi/2) \gamma, \quad \beta = \theta \cot(\theta/2) \gamma, \quad \gamma = \frac{\sin^{-1} d}{d} \frac{c}{\theta \phi},$$

where

$$\begin{aligned} c &= \frac{1}{2} \sin \theta \sin \phi - 2 \sin^2 \frac{\theta}{2} \sin^2 \frac{\phi}{2} \cos(\angle(u, v)), \quad a = c \cot(\phi/2), \quad b = c \cot(\theta/2), \\ d &= \sqrt{a^2 + b^2 + 2ab \cos(\angle(u, v)) + c^2 \sin^2(\angle(u, v))}, \end{aligned}$$

for

$$\theta = \frac{1}{\sqrt{2}} \|X\|, \quad \phi = \frac{1}{\sqrt{2}} \|Y\|, \quad \angle(u, v) = \cos^{-1} \frac{\langle X, Y \rangle}{\|X\| \|Y\|}.$$

The inner product is the Hilbert–Schmidt inner product and the norm is the associated norm. Under the hat-isomorphism,

$$\langle u, v \rangle = \frac{1}{2} \text{Tr } X^T Y,$$

which explains the factors for  $\theta$  and  $\phi$ . This drops out in the expression for the angle.

It is worthwhile to write this composite rotation generator as

$$\alpha X + \beta Y + \gamma [X, Y] \underset{\mathfrak{so}(3)}{=} X + Y + \frac{1}{2} [X, Y] + \frac{1}{12} [X, [X, Y]] - \frac{1}{12} [Y, [X, Y]] + \dots,$$

to emphasize that this is a Lie algebra identity.

The above identity holds for all faithful representations of  $\mathfrak{so}(3)$ . The kernel of a Lie algebra homomorphism is an ideal, but  $\mathfrak{so}(3)$ , being simple, has no nontrivial ideals and all nontrivial representations are hence faithful. It holds in particular in the doublet or spinor representation. The same explicit formula thus follows in a simpler way through Pauli matrices, cf. the 2×2 derivation for SU(2).

### The SU(2) case

The Pauli vector version of the same BCH formula is the somewhat simpler group composition law of SU(2),

$$e^{ia'(\hat{u}\cdot\vec{\sigma})} e^{ib'(\hat{v}\cdot\vec{\sigma})} = \exp\left(\frac{c'}{\sin c'} \sin a' \sin b' \left( (i \cot b' \hat{u} + i \cot a' \hat{v}) \cdot \vec{\sigma} + \frac{1}{2} [i\hat{u} \cdot \vec{\sigma}, i\hat{v} \cdot \vec{\sigma}] \right)\right),$$

where

$$\cos c' = \cos a' \cos b' - \hat{u} \cdot \hat{v} \sin a' \sin b',$$

the spherical law of cosines (Note  $a', b', c'$  are angles, not the  $a, b, c$  above.)

This is manifestly of the same format as above,

$$Z = \alpha' X + \beta' Y + \gamma' [X, Y],$$

with

$$X = ia' \hat{u} \cdot \sigma, \quad Y = ib' \hat{v} \cdot \sigma \in \mathfrak{su}(2),$$

so that

$$\begin{aligned} \alpha' &= \frac{c'}{\sin c'} \frac{\sin a'}{a'} \cos b' \\ \beta' &= \frac{c'}{\sin c'} \frac{\sin b'}{b'} \cos a' \\ \gamma' &= \frac{1}{2} \frac{c'}{\sin c'} \frac{\sin a'}{a'} \frac{\sin b'}{b'}. \end{aligned}$$

For uniform normalization of the generators in the Lie algebra involved, express the Pauli matrices in terms of  $\mathfrak{f}$ -matrices,  $\sigma \rightarrow 2i \mathbf{t}$ , so that

$$a' \mapsto -\frac{\theta}{2}, \quad b' \mapsto -\frac{\phi}{2}.$$

To verify then these are the same coefficients as above, compute the ratios of the coefficients,

$$\begin{aligned} \frac{\alpha'}{\gamma'} &= \theta \cot \frac{\theta}{2} &= \frac{\alpha}{\gamma} \\ \frac{\beta'}{\gamma'} &= \phi \cot \frac{\phi}{2} &= \frac{\beta}{\gamma}. \end{aligned}$$

Finally,  $\gamma = \gamma'$  given the identity  $d = \sin 2c'$ .

For the general  $n \times n$  case, one might use Ref<sup>[14]</sup>

## Infinitesimal rotations

The matrices in the Lie algebra are not themselves rotations; the skew-symmetric matrices are derivatives. An actual "infinitesimal rotation", or *infinitesimal rotation matrix* has the form

$$I + A d\theta,$$

where  $d\theta$  is vanishingly small and  $A \in \mathfrak{so}(3)$ .

These matrices do not satisfy all the same properties as ordinary finite rotation matrices under the usual treatment of infinitesimals<sup>[15]</sup>. To understand what this means, one considers

$$dA_{\mathbf{x}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -d\theta \\ 0 & d\theta & 1 \end{bmatrix}.$$

First, test the orthogonality condition,  $Q^T Q = I$ . The product is

$$dA_{\mathbf{x}}^T dA_{\mathbf{x}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 + d\theta^2 & 0 \\ 0 & 0 & 1 + d\theta^2 \end{bmatrix},$$

differing from an identity matrix by second order infinitesimals, discarded here. So, to first order an infinitesimal rotation matrix is an orthogonal matrix.

Next, examine the square of the matrix,

$$dA_{\mathbf{x}}^2 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 - d\theta^2 & -2d\theta \\ 0 & 2d\theta & 1 - d\theta^2 \end{bmatrix}.$$

Again discarding second order effects, note that the angle simply doubles. This hints at the most essential difference in behavior, which we can exhibit with the assistance of a second infinitesimal rotation,

$$dA_{\mathbf{y}} = \begin{bmatrix} 1 & 0 & d\phi \\ 0 & 1 & 0 \\ -d\phi & 0 & 1 \end{bmatrix}.$$

Compare the products  $dA_{\mathbf{x}} dA_{\mathbf{y}}$  to  $dA_{\mathbf{y}} dA_{\mathbf{x}}$ .

$$dA_{\mathbf{x}} dA_{\mathbf{y}} = \begin{bmatrix} 1 & 0 & d\phi \\ d\theta d\phi & 1 & -d\theta \\ -d\phi & d\theta & 1 \end{bmatrix}$$

$$dA_{\mathbf{y}} dA_{\mathbf{x}} = \begin{bmatrix} 1 & d\theta d\phi & d\phi \\ 0 & 1 & -d\theta \\ -d\phi & d\theta & 1 \end{bmatrix}.$$

Since  $d\theta d\phi$  is second order, we discard it: thus, to first order multiplication of infinitesimal rotation matrices is *commutative*. In fact,

$$dA_{\mathbf{x}} dA_{\mathbf{y}} = dA_{\mathbf{y}} dA_{\mathbf{x}},$$

again to first order. In other words, **the order in which infinitesimal rotations are applied is irrelevant**.

This useful fact makes, for example, derivation of rigid body rotation relatively simple. But one must always be careful to distinguish (the first order treatment of) these infinitesimal rotation matrices from both finite rotation matrices and from Lie algebra elements. When contrasting the behavior of finite rotation matrices in the BCH formula above with that of infinitesimal rotation matrices, where all the commutator terms will be second order infinitesimals one finds a bona fide vector space. Technically, this dismissal of any second order terms amounts to Group contraction.

## Realizations of rotations

We have seen that there are a variety of ways to represent rotations:

- as orthogonal matrices with determinant 1,
- by axis and rotation angle
- in quaternion algebra with versors and the map 3-sphere  $S^3 \rightarrow SO(3)$  (see quaternions and spatial rotations)
- in geometric algebras as a rotor
- as a sequence of three rotations about three fixed axes; see Euler angles

## Spherical harmonics

See also Representations of SO(3)

The group  $SO(3)$  of three-dimensional Euclidean rotations has an infinite-dimensional representation on the Hilbert space

$$L^2(S^2) = \text{span} \{ Y_m^l, l \in \mathbf{N}^+, -l \leq m \leq l \},$$

where  $Y_m^l$  are spherical harmonics. Its elements are square integrable complex-valued functions<sup>[6]</sup> on the sphere. The inner product on this space is given by

$$\langle f, g \rangle = \int_{S^2} \bar{f} g d\Omega = \int_0^{2\pi} \int_0^\pi \bar{f} g \sin \theta d\theta d\varphi. \tag{H1}$$

If  $f$  is an arbitrary square integrable function defined on the unit sphere  $S^2$ , then it can be expressed as<sup>[6]</sup>

$$|f\rangle = \sum_{l=1}^{\infty} \sum_{m=-l}^{m=l} |Y_m^l\rangle \langle Y_m^l | f \rangle, \quad f(\theta, \varphi) = \sum_{l=1}^{\infty} \sum_{m=-l}^{m=l} f_{lm} Y_m^l(\theta, \varphi), \tag{H2}$$

where the expansion coefficients are given by

$$f_{lm} = \langle Y_m^l, f \rangle = \int_{\mathbf{S}^2} \overline{Y_m^l} f d\Omega = \int_0^{2\pi} \int_0^\pi \overline{Y_m^l}(\theta, \varphi) f(\theta, \varphi) \sin \theta d\theta d\varphi.$$

The Lorentz group action restricts to that of SO(3) and is expressed as

$$(\Pi(R)f)(\theta(x), \varphi(x)) = \sum_{l=1}^{\infty} \sum_{m=-l}^{m=l} \sum_{m'=-l}^{m'=l} D_{mm'}^{(l)}(R) f_{lm'} Y_m^l(\theta(R^{-1}x), \varphi(R^{-1}x)), \quad R \in \text{SO}(3), \quad x \in \mathbf{S}^2.$$

This action is unitary meaning that

$$\langle \Pi(R)f, \Pi(R)g \rangle = \langle f, g \rangle \quad \forall f, g \in \mathbf{S}^2, \quad \forall R \in \text{SO}(3). \tag{H5}$$

The  $D^{(l)}$  can be obtained from the  $D^{(m, n)}$  of above using [Clebsch–Gordan decomposition](#) but they are more easily directly expressed as an exponential of an odd-dimensional  $\mathfrak{su}(2)$ -representation (the 3-dimensional one is exactly  $\mathfrak{so}(3)$ ).<sup>[17][18]</sup> In this case the space  $L^2(\mathbf{S}^2)$  decomposes neatly into an infinite direct sum of irreducible odd finite-dimensional representations  $V_{2i+1}$ ,  $i = 0, 1, \dots$  according to<sup>[19]</sup>

$$L^2(\mathbf{S}^2) = \sum_{i=0}^{\infty} V_{2i+1} \equiv \bigoplus_{i=0}^{\infty} \text{span}\{Y_m^{2i+1}\}. \tag{H6}$$

This is characteristic of infinite-dimensional unitary representations of SO(3). If  $\Pi$  is an infinite-dimensional unitary representation on a [separable](#)<sup>[dnb 5]</sup> Hilbert space, then it decomposes as a direct sum of finite-dimensional unitary representations.<sup>[16]</sup> Such a representation is thus never irreducible. All irreducible finite-dimensional representations  $(\Pi, V)$  can be made unitary by an appropriate choice of inner product.<sup>[16]</sup>

$$\langle f, g \rangle_U \equiv \int_{\text{SO}(3)} \langle \Pi(R)f, \Pi(R)g \rangle dg = \frac{1}{8\pi^2} \int_0^{2\pi} \int_0^\pi \int_0^{2\pi} \langle \Pi(R)f, \Pi(R)g \rangle \sin \theta d\varphi d\theta d\psi, \quad f, g \in V,$$

where the integral is the unique invariant integral over SO(3) normalized to 1, here expressed using the [Euler angles](#) parametrization. The inner product inside the integral is any inner product on  $V$ .

## Generalizations

The rotation group generalizes quite naturally to  $n$ -dimensional [Euclidean space](#)  $\mathbf{R}^n$  with its standard Euclidean structure. The group of all proper and improper rotations in  $n$  dimensions is called the [orthogonal group](#)  $O(n)$ , and the subgroup of proper rotations is called the [special orthogonal group](#)  $SO(n)$ , which is a [Lie group](#) of dimension  $n(n - 1)/2$ .

In [special relativity](#), one works in a 4-dimensional vector space, known as [Minkowski space](#) rather than 3-dimensional Euclidean space. Unlike Euclidean space, Minkowski space has an inner product with an indefinite signature. However, one can still define *generalized rotations* which preserve this inner product. Such generalized rotations are known as [Lorentz transformations](#) and the group of all such transformations is called the [Lorentz group](#).

The rotation group SO(3) can be described as a subgroup of  $E^+(3)$ , the [Euclidean group](#) of [direct isometries](#) of Euclidean  $\mathbf{R}^3$ . This larger group is the group of all motions of a [rigid body](#): each of these is a combination of a rotation about an arbitrary axis and a translation along the axis, or put differently, a combination of an element of SO(3) and an arbitrary translation.

In general, the rotation group of an object is the [symmetry group](#) within the group of direct isometries; in other words, the intersection of the full symmetry group and the group of direct isometries. For [chiral](#) objects it is the same as the full symmetry group.

## See also

- [Orthogonal group](#)
- [Angular momentum](#)
- [Coordinate rotations](#)
- [Charts on SO\(3\)](#)
- [Euler angles](#)
- [Rodrigues' rotation formula](#)
- [Infinitesimal rotation](#)
- [Pin group](#)
- [Quaternions and spatial rotations](#)
- [Rigid body](#)
- [Spherical harmonics](#)
- [Plane of rotation](#)
- [Lie group](#)
- [Pauli matrix](#)

## Remarks

1. This is affected by first applying a rotation  $g_\varphi$  through  $\varphi$  about the  $z$ -axis to take the  $x$ -axis to the line  $L$ , the intersection between the planes  $xy$  and  $x'y'$ , the latter being the rotated  $xy$ -plane. Then rotate with  $g_\theta$  through  $\theta$  about  $L$  to obtain the new  $z$ -axis from the old one, and finally rotate by  $g_\psi$  through an angle  $\psi$  about the *new*  $z$ -axis, where  $\psi$  is the angle between  $L$  and the new  $x$ -axis. In the equation,  $g_\theta$  and  $g_\psi$  are expressed in a temporary *rotated basis* at each step, which is seen from their simple form.  $\bar{\sigma}$  transform these back to the original basis, observe that  $\mathbf{g}_\theta = g_\varphi g_\theta g_\varphi^{-1}$ . Here boldface means that the rotation is expressed in the *original* basis. Likewise,  $\mathbf{g}_\psi = g_\varphi g_\psi g_\varphi^{-1} g_\varphi g_\psi [g_\varphi g_\theta g_\varphi^{-1} g_\varphi]^{-1}$ . Thus  $\mathbf{g}_\psi \mathbf{g}_\theta \mathbf{g}_\psi = g_\varphi g_\theta g_\varphi^{-1} g_\varphi g_\psi [g_\varphi g_\theta g_\varphi^{-1} g_\varphi]^{-1} g_\varphi g_\psi g_\varphi^{-1} g_\varphi = g_\varphi g_\theta g_\psi$ .
2. For an alternative derivation of  $\mathfrak{so}(3)$ , see [Classical group](#)
3. For a full proof, see [Derivative of the exponential map](#) Issues of convergence of this series to the correct element of the Lie algebra are here swept under the carpet. Convergence is guaranteed when  $\|X\| + \|Y\| < \log 2$  and  $\|Z\| < \log 2$ . The series may still converge even if these conditions aren't fulfilled. A solution always exists since  $\exp$  is onto in the cases under consideration.
4. The elements of  $L^2(\mathbf{S}^2)$  are actually equivalence classes of functions: two functions are declared equivalent if they differ merely on a set of [measure zero](#). The integral is the Lebesgue integral in order to obtain a *complete* inner product space.
5. A Hilbert space is separable if and only if it has a countable basis. All separable Hilbert spaces are isomorphic.

## Notes

1. Jacobson (2009), p. 34, Ex. 14.
2.  $n \times n$  real matrices are identical to linear transformations of  $\mathbf{R}^n$  expressed in its [standard basis](#)
3. [Hall 2015](#) Proposition 1.17
4. These expressions were, in fact, seminal in the development of quantum mechanics in the 1930s, cf. Ch III, § 16, B.L. van der Waerden, 1932/1932
5. [Rossmann 2002](#) p. 95.
6. [Hall 2015](#) Proposition 3.24
7. [Rossmann 2002](#)

8. Engø 2001
9. Hall 2015 Example 3.27
10. See Rossmann 2002 theorem 3, section 2.2.
11. Rossmann 2002 Section 1.1.
12. Hall 2003 Theorem 2.27.
13. Hall 2003, Ch. 3; Varadarajan 1984 §2.15
14. Curtright, Fairlie & Zachos 2014 Group elements of  $SU(2)$  are expressed in closed form as finite polynomials of the Lie algebra generators, for all definite spin representations of the rotation group.
15. (Goldstein, Poole & Safko 2002 §4.8)
16. Gelfand, Minlos & Shapiro 1963
17. In *Quantum Mechanics – non-relativistic theory* by Landau and Lifshitz the lowest order  $D$  are calculated analytically
18. Curtright, Fairlie & Zachos 2014 A formula for  $D^{(\ell)}$  valid for all  $\ell$  is given.
19. Hall 2003 Section 4.3.5.

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